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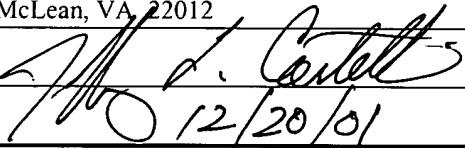
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/437,135
		Filing Date	November 10, 1999
		First Named Inventor	Shunpei YAMAZAKI et al.
		Group Art Unit	2813
		Examiner Name	E. Kielin
Total Number of Pages in This Submission		Attorney Docket Number	
		740756-2064	

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> FORM PTO-1449
Remarks		

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Or Individual name	Jeffrey L. Costellia, Reg. No. 35,483 Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22012
Signature	
Date	12/20/01

**CERTIFICATE OF MAILING**

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Docket No. 740756-2064

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Shunpei YAMAZAKI et al. ) Examiner: E. Kielin  
Application Serial No. 09/437,135 ) Art Unit: 2813  
Filed: November 10, 1999 )  
For: SEMICONDUCTOR DEVICE AND METHOD FOR )  
FORMING THE SAME )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each reference cited is enclosed.

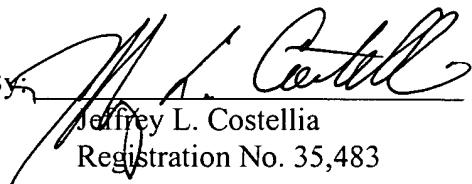
Please note that the information cited in this IDS was previously submitted by Applicant on November 10, 1999 and February 16, 2000. Note further that the February 16, 2000 IDS has already been considered by the Patent Office on March 14, 2001, while the information cited in the November 10, 1999 IDS was either previously considered or cited by the Patent Office in previously-filed applications that are related to the subject application. For the convenience of the Examiner, Applicant submits full copies of the references cited in these IDS's.

It is requested that this information disclosure statement be considered and made of record in the above-captioned application. To assist the Examiner, each document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

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The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By   
Jeffrey L. Costellia  
Registration No. 35,483

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Part of #18

Submitted with IDS of February 14, 2000

Sheet 1 of 1

Form PTO-1449 (Rev. 8-83)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 0756-2064		Serial No. 09/437,135	
<b>INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)							
				Applicant: Shunpei YAMAZAKI et al.			
				Filing Date: November 10, 1999		Group:	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)	
<b>FOREIGN PATENT DOCUMENTS</b>							
	Document Number	Date	Country	Class	Subclass	Translation Yes No	
ER	63-211759	09/02/88	Japan	—	—	Abstract <input checked="" type="checkbox"/>	
	49-78483	07/29/74	Japan				
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
Examiner				Date Considered			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

DUPPLICATE

